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PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/661,168
		Filing Date	September 13, 2000
		First Named Inventor	Inna Vogel
		Group Art Unit	2161
		Examiner Name	Not Yet Assigned
Sheet 1 of 7	Attorney Docket Number		003801.P015

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number			
Jmb	3,581,072	Nymayer	5/25/1971	3/28/1968
Jmb	3,573,747	Adams, et al.	4/6/1971	2/24/1969
Jmb	4,412,287	Braddock, III	10/25/1983	9/15/1982
Jmb	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985
Jmb	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984
Jmb	4,789,928	Fujisaki	12/6/1988	1/30/1987
Jmb	4,799,156	Shavit, et al.	1/17/1989	10/1/1986
Jmb	4,823,265	Nelson	4/18/1989	5/11/1987
Jmb	4,903,201	Wagner	2/20/1990	11/3/1983
Jmb	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990
Jmb	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989
Jmb	5,101,353	Lupien, et al.	3/31/1992	5/31/1989

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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Office or Country	Number	Date		
Jmb	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992
Jmb	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996
Jmb	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997

OTHER DOCUMENTS			
Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
Jmb		MALONE, T.W.; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6.	
Jmb		WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
Jmb		"Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1	
Jmb		NEO, B.S, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1	

Examiner Signature	Date Considered
<i>[Signature]</i>	5-13-03

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		Number			
JS		5,136,501	Silverman, et al.	8/4/1992	5/26/1989
JS		5,168,446	Wiseman	12/1/1992	5/23/1989
JS		5,243,515	Lee	9/7/1993	10/30/1990
JS		5,258,908	Hartheimer, et al.	11/2/1993	11/2/1990
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	Office or Country	Number	Date			
JS	Netherland	9300266	2.10.1993			No
JS	France	2658635-A1	2.6.1991			
JS	Canada	2,253,543	3/19/1997			Yes

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JS	ROCKOFF, T.E; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16.	
JS	MASSIMB, M.N; PHELPS, B.D, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50.	
JS	POST, D.L; COPPINGER, S.S, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10, No. 3.	
JS	HESS, C.M; KEMERER, C.F., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994; Vol. 18, No 3, Pages 251-274.	

Examiner Signature	Date Considered
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U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number			
JS	5,426,281	Abecassis	6/20/1995	10/29/1993
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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
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JS	PCT	WO95/17711	6/29/1995		

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
JS	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21.	
JS	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3.	
JS	LEE, Ho G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV.	
JS	CLEMONS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol. 12.	

Examiner Signature	Date Considered
<i>[Signature]</i>	5-12-03

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U.S. PATENT DOCUMENTS					
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		Number			
Jed		5,835,896	Fisher, et al.	11/10/1998	3/29/1996
		5,845,266	Lupien, et al.	12/1/1998	12/12/1995
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OTHER DOCUMENTS		
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J	MARDESICH, J., "Onsale takes auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32.	
	"Onsale joins fray as online shopping picks up speed: INTERNET BOOMS", <u>Computer Reseller News</u> , June 5, 1995, Page 73 (www.dialogweb.com).	
	SIEGMANN, Ken, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42 (www.dialogweb.com).	
	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, (pp 3) <u>Dialog Web</u> . 0489267 BW0022.	

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U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
BT		5,926,794	Fethe	7/20/1999	3/6/1996
		5,991,739	Cupps, et al.	11/23/1999	11/24/1997
		6,035,402	Vaeth, et al.	3/7/2000	12/20/1996
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		6,055,518	Franklin, et al.	4/25/2000	11/12/1996
		6,058,417	Hess, et al.	5/2/2000	10/23/1998
		6,073,117	Oyanagi, et al.	6/6/2000	3/13/1998
		6,104,815	Alcorn, et al.	8/16/2000	1/9/1998
		5,715,314	Payne, et al,	2/3/1998	10/24/1994
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		5,799,285	Klingman	8/25/1998	8/30/1996
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OTHER DOCUMENTS			
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	VAN HECK, E.; RIBBERS, P.M., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given.		
	LEE, H.G., "AUCNET: Electronic Intermediary for Used-car Transactions", <u>Focus Theme</u> , No Date Given.		
	KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given		
	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given.		

Examiner Signature	<i>Raydon A. Paul</i>	Date Considered	5-13-03
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Sheet 7 of 7

U.S. PATENT DOCUMENTS

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	Number				
JA	5,873,069		Reuhl, et al.	2/16/1999	10/13/1995
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JA	ZWASS, Vladimir, "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol. 1, No. 1, pp 3-23 (www.cba.bgsu.edu).	
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Examiner
Signature

Jonathan R. Pat

Date
Considered


5-13-03

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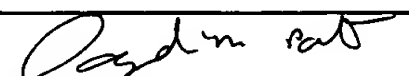
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Sheet 1 JUL 08 2002 1 of 1

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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